

NORTH CAROLINA
DEPARTMENT OF STATE TREASURER



Dale R. Folwell, CPA
STATE TREASURER OF NORTH CAROLINA
DALE R. FOLWELL, CPA

INVESTMENT MANAGEMENT DIVISION



Policy Update

Ethics	Investment	Other
Placement Agent, Political Contribution and Connection Disclosure Policy	Investment Policy Statement	Signatory Authority Policy*
Code of Ethics and Conduct	External Investment Manager and Vehicle Selection Policy	Hold Policy for Shareholder Action
Supplemental Ethics Policy for State Treasurer, Senior Executive Staff, and Investment Division	Investment Manager and Vehicle Monitoring and Termination Policy	Indemnification Policy
Insider and Personal Trading Policy*	Long Term Stewardship Practices	Divestment Policies (Boycott Israel, Iran, and Sudan)
IAC Code of Ethics	IAC Charter	Office of Foreign Asset Control (OFAC) Sanctions Compliance Policy
Charitable Donations Policy	Investment Committee Charter	Proxy Voting Guidelines
Internal Statement of Economic Interest Filing and Ethics Education Policy	Investment Valuation Policy and Procedure*	Corporate Governance Committee Charter
Prohibition of Gifts to State Employees	Valuation Committee Charter	

* Indicates a policy updated in 2017

Red indicates an IMD specific policy, black a multi divisional policy

Policies are available online at: <https://www.nctreasurer.com/inside-the-department/OpenGovernment/Pages/Department-Policies.aspx>