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**SEXUAL RISK AVOIDANCE EDUCATION BLOCK GRANT**

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**State Project/Program:** **SEXUAL RISK AVOIDANCE EDUCATION (SRAE) GRANT PROGRAM (PRC 101)**

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**U. S. Department of Health and Human Services**

**Federal Authorization:** Social Security Act Title V, Section 510(b), 42 U.S.C. 710(b)

**N. C. Department of Public Instruction**

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**Agency Contacts:**

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**N.C. DPI Confirmation Reports:**

Confirmation of Funds Expended and/or Disbursed from the State Public School Fund and Federal Programs 2019-20 will be available at the [NC DPI School Business Division Annual Reports Application](#). The system provides an electronic view of Year-to-Date (YTD) financial reports in response to requests for confirmation from independent auditors.

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The auditor should not consider the Supplement to be “safe harbor” for identifying audit procedures to apply in a particular engagement, but the auditor should be prepared to justify departures from the suggested procedures. The auditor can consider the supplement a “safe harbor” for identification of compliance requirements to be tested if the auditor performs reasonable procedures to ensure that the requirements in the Supplement are current. The grantor agency may elect to review audit working papers to determine that audit tests are adequate.

This compliance supplement should be used in conjunction with the OMB 2020 Compliance Supplement which will be issued in the summer. This includes “Part 3 - Compliance Requirements,” for the types that apply, “Part 6 - Internal Control,” and “Part 4 - Agency Program” requirements if the Agency issued guidance for a specific program. The OMB Compliance Supplement is Section A of the State Compliance Supplement.

**I. PROGRAM OBJECTIVES**

The purpose of the Title V State Sexual Risk Avoidance Education (SRAE) Grant Program is to implement education exclusively on sexual risk avoidance that teaches students to

voluntarily refrain from sexual activity and other risky behaviors. The program targets youth in grades 5 and 6 in high-needs districts. Programs implemented under this grant must provide the following: 1) medically accurate and age appropriate content; 2) implement sexual risk avoidance curricula/interventions and/or strategies that are evidence based or evidence-informed; 3) include Positive Youth Development (PYD); and 4) link students to services with local community partners and other agencies that support their health, safety, and well-being.

## II. PROGRAM PROCEDURES

DPI allocates funds for up to 30 of the 116 local education agencies, identified as “Priority LEAs”, to implement the legislative priorities of the funding. Priority is determined based on high rates of 1) teen pregnancy, 2) teen birth, 3) children aged 0-17 in foster care, 4) free and reduced lunch eligibility, and 5) other academic risk factors.

## III. COMPLIANCE REQUIREMENTS

The Type of Compliance Requirements can be found in Section B in the link: [2020 Agency Matrix for Federal Programs](#). This matrix incorporates the OMB Compliance Supplement “Part 2 - Matrix of Compliance Requirement.” A State Agency may have added a compliance requirement that the OMB matrix in Part 2 has a “N” (Not Applicable).

NC Department of Public Instruction (DPI) mandates that all testing in the DPI Cross-Cutting Requirements be performed by the local auditor. Please refer to Cross-Cutting Requirements DPI-0.

### A. Activities Allowed or Un-allowed

**Compliance Requirement** – Funds from this grant must be used to develop a SRAE program that must address the following topics:

- (a) The holistic individual and societal benefits associated with personal responsibility, self-regulation, goal setting, healthy decision making, and focus on the future.
- (b) The advantage of refraining from non-martial sexual activity in order to improve the future prospects, and physical and emotional health of youth.
- (c) The increased likelihood of avoiding poverty when youth attain self-sufficiency and emotional maturity before engaging in sexual activity.
- (d) The foundational components of healthy relationships and their impact on the formation of healthy marriages and safe and stable families.
- (e) How other youth risk behaviors, such as drug and alcohol usage, increase the risk for teen sex.
- (f) How to resist and avoid, and receive help regarding sexual coercion and dating violence, recognizing that even with consent teen sex remains a youth risk behavior.

**Audit Objectives** – Determine that funds were spent in accordance with a Sexual Risk Avoidance Education (SRAE) program that complies with the approved State Plan and supports the eight specified spending criteria.

**Suggested Audit Procedures:**

- Test expenditures and related records to determine if expenditures were made only for allowable services and resources.
- Examine expenditures for appropriate approval and documentation.

**B. Allowable Costs/Cost Principles**

Addressed in the NC Department of Public Instruction Cross-cutting Requirements.

**C. Cash Management**

Addressed in the NC Department of Public Instruction Cross-cutting Requirements.

**E. Eligibility**

**Eligibility for Subrecipients** – Priority is determined by ranking counties using published State and local data on primary and secondary teen pregnancy rates, teen birth rates, children age 0-17 in foster care, free and reduced lunch participation rates, and additional academic risk factors. No testing is required.

**Eligibility for Individuals** – This compliance requirement does not apply at the local level. No testing is required.

**F. Equipment and Real Property Management**

Addressed in the NC Department of Public Instruction Cross-cutting Requirements.

**G. Matching, Level of Effort, Earmarking**

**Compliance Requirement** - The Sexual Risk Avoidance Education (SRAE) Program's funding is comprised of Federal funds (Federal share) through a Block Grant. This grant no longer requires states to provide a match the total award amount.

**Audit Objective** - To verify LEAs keep matching records for the Sexual Risk Avoidance Education (SRAE) Program.

**Suggested Audit Procedures:**

- Verify that reports of matching expenditures were submitted to DPI and supported by appropriate documentation.

**H. Period of Availability of Federal Funds**

**Compliance Requirement** – Expenditures may not be incurred before the beginning date of the project.

**Audit Objective** – Determine that no expenditures were incurred prior to the beginning date of the approved project.

**Suggested Audit Procedure:**

- Review transactions to verify that no expenditures were incurred prior to the approved application beginning date.

**I. Procurement and Suspension and Debarment**

Addressed in the NC Department of Public Instruction Cross-Cutting Requirements

**N. Special Tests and Provisions**

Addressed in the NC Department of Public Instruction Cross-cutting Requirements.