Agency Matrix for State Programs

Supple-ment Number (Note A)	State Project/Program	Division If Applicable	CC	1	2	3	4	5	6	7	8	9	10	12	13	14
			Cross cutting	Activities Allowed or Unallowed	Allowable Costs/Cost Principles	Cash Management	Conflict of Interest	Eligibility	Equipment Real Property Management	Matching, Level of Effort, Earmarking	Period of Performance	Procurement Suspension & Debarment	Program Income	Reporting	Subrecipient Monitoring	Special Tests and Provisions
N. C. Department of Agriculture (4)																
AGRI-1	NC ADFP Trust Fund		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	Y	N
AGRI-2	Appropriations/TVA Settlement Grant Program/Agricultural Initiatives		N	Y	Y	Y	Y	N	N	N	Y	Y	N	Y	Y	N
AGRI-5	Stream Debris Removal Grants		N	Y	Y	Y	Y	Y	Y	Y	Y	N	N	Y	Y	N
AGRI-6	Hurricane Florence Watershed Restoration Grant		Y	Y	Y	Y	Y	Y	Y	Y	Y	N	N	Y	Y	N
N. C. Department of Commerce (6)																
COM-2	Special Appropriations		N	Y	Y	Y	Y	N	N	Y	N	N	N	Y	N	N
COM-5	Disaster Recovery Act of 2016		N	Y	Y	N	Y	N	N	N	Y	Y	N	Y	N	N
COM-6	One North Carolina Fund Program		N	N	Y	Y	N	Y	Y	Y	Y	N	N	Y	N	N
COM-7	Industrial Development Fund Utility Account		N	Y	Y	N	Y	Y	N	Y	Y	Y	N	Y	N	N
COM-8	Rural Building Reuse Program		N	Y	Y	N	Y	N	N	N	Y	Y	N	Y	N	N
COM-9	Rural Infrastructure Program		N	Y	Y	N	Y	N	N	N	Y	Y	N	Y	N	N
COM-10	Downtown Revitalization and Economic Development		N	Y	Y	N	Y	N	N	Y	N	Y	N	Y	N	N

Supple-ment Number (Note A))	State Project/Program	Division If Applicable	CC Cross cutting	1 Activities Allowed or Unallowed	2 Allowable Costs/Cost Principles	3 Cash Management	4 Conflict of Interest	5 Eligibility	6 Equipment Real Property Management	7 Matching, Level of Effort, Earmarking	8 Period of Performance	9 Procurement Suspension & Debarment	10 Program Income	12 Reporting	13 Subrecipient Monitoring	14 Special Tests and Provisions
NC Department of Environment Quality (11)																
DEQ-8	Scrap Tire Disposal Account Grants Scrap Tire Program		N	Y	Y	N	Y	N	Y	N	Y	N	N	Y	N	Y
DEQ-10	N.C. Clean Water Revolving Loan & Grant Program		N	Y	Y	Y	Y	N	N	N	N	Y	Y	Y	N	Y
DEQ-14	Solid Waste Management Outreach Grant Program		N	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y	N	Y
DEQ-19	Water Resources Development Project Grant Program		N	Y	Y	N	Y	N	Y	Y	Y	Y	N	Y	N	N
DEQ-22	Public Beach & Coastal Waterfront Access Program		N	Y	Y	Y	Y	N	N	Y	N	N	Y	Y	N	Y
DEQ-28	Electronics Management Fund Distribution / Electronics Management Program		N	Y	Y	N	Y	N	Y	N	Y	N	N	Y	N	Y
DEQ-30	Connect NC Bond		N	Y	Y	Y	Y	N	N	N	N	Y	Y	Y	N	Y
DEQ-31	Volkswagen Mitigation Settlement		N	Y	Y	Y	**Y**	Y	Y	Y	Y	Y	N	Y	N	N
N. C. Department of Health and Human Services (14)																
DHHS-8	Smart Start -Local Partnerships	DCDEE	N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
DHHS-8a	Smart Start - Direct Service Providers	DCDEE	N	Y	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y
DHHS-28	State Foster Care Benefits Program	DSS	Y	Y	N	N	N	Y	N	Y	N	N	N	Y	N	N
DHHS-30	State/County Special Assistance for Adults	DAAS	Y	Y	N	N	Y	Y	N	Y	N	N	N	Y	N	Y

Supple-ment Number (Note A)	State Project/Program	Division If Applicable	Types of Compliance Requirements (Note B)													
			CC	1	2	3	4	5	6	7	8	9	10	12	13	14
			Cross cutting	Activities Allowed or Unallowed	Allowable Costs/Cost Principles	Cash Management	Conflict of Interest	Eligibility	Equipment Real Property Management	Matching, Level of Effort, Earmarking	Period of Performance	Procurement Suspension & Debarment	Program Income	Reporting	Subrecipient Monitoring	Special Tests and Provisions
DHHS-41	Foster Care – At Risk Funds / Comprehensive Treatment Services Program	DSS	Y	Y	Y	N	N	Y	N	Y	N	N	N	Y	N	N
DHHS-50	NC Pre-Kindergarten Program	DCDEE	N	Y	Y	Y	N	Y	Y	N	Y	N	N	Y	Y	Y
DHHS-54	Crisis Services	DMH	Y	Y	Y	N	Y	Y	Y	N	N	N	N	Y	Y	Y
DHHS-55	LME Systems Performance	DMH	Y	Y	Y	N	Y	Y	Y	N	N	N	N	Y	Y	Y
DHHS-58	Children's Trust Fund	DSS	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	N	Y	Y	N
DHHS-62	State Maternity Home Fund	DSS	N	Y	Y	N	N	Y	N	Y	N	N	N	Y	N	N
DHHS-63	Telemedicine / Statewide Telepsychiatry Program	ORH	N	Y	Y	Y	Y	Y	Y	N	Y	N	Y	Y	Y	N
DHHS-64	North Carolina Child Treatment Program (NC CTP)	DMH	Y	Y	Y	N	Y	Y	Y	N	N	N	N	Y	Y	Y
DHHS-67	Improving Community Outcomes for Maternal and Child Health	DPH	N	Y	Y	Y	N	Y	Y	Y	Y	Y	N	Y	Y	Y
DHHS-68	NC MEDAssist	ORH	N	Y	Y	Y	Y	N	Y	N	Y	N	N	Y	Y	N
N. C. Department of Natural and Cultural Resources (5)																
DNCR-4	Aid to Public Libraries Fund		N	Y	Y	Y	N	Y	N	Y	N	N	N	Y	N	N
DNCR-5	Clean Water Management Trust Fund		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
DNCR-6	Parks and Recreation Trust Fund		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y
DNCR-7	Parks and Recreation Trust Fund-Connect NC Bond		N	Y	Y	Y	Y	N	Y	Y	Y	Y	Y	Y	N	Y
DNCR-8	Special Appropriation		N	Y	Y	N	Y	N	Y	N	Y	Y	N	Y	Y	Y

Supple-ment Number (Note A)	State Project/Program	Division If Applicable	Types of Compliance Requirements (Note B)													
			CC	1	2	3	4	5	6	7	8	9	10	12	13	14
			Cross cutting	Activities Allowed or Unallowed	Allowable Costs/Cost Principles	Cash Management	Conflict of Interest	Eligibility	Equipment Real Property Management	Matching, Level of Effort, Earmarking	Period of Performance	Procurement Suspension & Debarment	Program Income	Reporting	Subrecipient Monitoring	Special Tests and Provisions
N. C. Department of Public Instruction (8)																
DPI-3	State Public School Fund (LEAs)		N	Y	N	N	Y	Y	Y	N	Y	Y	N	Y	N	Y
DPI-4	State Public School Fund (Charter Schools)		N	Y	Y	N	Y	Y	Y	N	Y	Y	N	Y	N	Y
DPI-5	Career Technical Education – State: Program Support Funds (PRC 014)		N	Y	N	Y	Y	N	Y	N	Y	Y	N	Y	N	N
DPI-6	Career and Technical Education State: Months of Employment (PRC013)		Y	Y	Y	Y	N	Y	N	N	Y	N	N	Y	N	N
DPI-7	State Textbook Funds		N	N	N	N	N	N	Y	N	N	N	N	N	N	Y
DPI-8	Driver Training (PRC 012)		N	Y	N	Y	N	N	Y	Y	Y	Y	N	Y	N	Y
DPI-9	School Technology Fund (PRC 015)		N	Y	N	N	Y	N	Y	N	Y	Y	N	Y	N	N
*GOV-1	Public School Building Capital Fund		N	Y	N	Y	N	N	N	Y	N	N	N	Y	Y	N
N. C. Department of Public Safety (1)																
*GOV-5	Juvenile Crime Prevention Program	DJJDP														
N. C. Department of Transportation (12)																
DOT-4	Non-State System Street-Aid Allocation (State Street Aid to Municipalities "Powell Bill")		Y	Y	Y	Y	Y	Y	Y	N	Y	**Y**	N	Y	N	Y
DOT-8	State Aid to Airports Program		N	Y	Y	N	Y	Y	Y	**Y**	**Y**	Y	Y	**Y**	N	Y

Supple-ment Number (Note A)	State Project/Program	Division If Applicable	Types of Compliance Requirements (Note B)													
			CC	1	2	3	4	5	6	7	8	9	10	12	13	14
			Cross cutting	Activities Allowed or Unallowed	Allowable Costs/Cost Principles	Cash Management	Conflict of Interest	Eligibility	Equipment Real Property Management	Matching, Level of Effort, Earmarking	Period of Performance	Procurement Suspension & Debarment	Program Income	Reporting	Subrecipient Monitoring	Special Tests and Provisions
DOT-9	State Maintenance Assistance for Urban and Small Urban Program		Y	Y	Y	Y	Y	N	N	**Y**	**Y**	Y	N	**Y**	Y	N
DOT-11	Rideshares		Y	N	Y	Y	Y	N	N	Y	Y	Y	N	N	N	N
DOT-14	Rural Capital Program		N	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y	N	N
DOT-16CL	Rural Operating Assistance Program (ROAP) Cluster - Elderly and Disabled Transportation Assistance Program (E&DTAP) - Rural General Public Program (RGP) - Work First Transitional / Employment Transportation Assistance		Y	N	N	N	Y	Y	N	Y	Y	Y	N	Y	Y	N
DOT-18	Statewide Contingency		Y	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y	N	Y
DOT-19	Rideshares		Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	N	N
DOT-20	Regional and New Starts Program		Y	Y	Y	Y	Y	N	N	Y	Y	Y	N	Y	N	N
DOT-23	Rail Industrial Access and Short Line Infrastructure Assistance		N	Y	Y	N	Y	Y	N	Y	Y	Y	N	Y	N	N
DOT-25	Economic Development		Y	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y	N	Y
DOT-26	High Impact / Low Cost		Y	Y	Y	Y	Y	N	Y	Y	Y	Y	N	Y	N	Y
North Carolina Housing Finance Agency (1)																
NCHFA-3	Essential Single-family rehabilitation Loan Pool– Disaster recovery		N	Y	Y	Y	Y	Y	N	Y	Y	Y	Y	Y	Y	Y

Supple-ment Number (Note A)	State Project/Program	Division If Applicable	Types of Compliance Requirements (Note B)													
			CC	1	2	3	4	5	6	7	8	9	10	12	13	14
			Cross cutting	Activities Allowed or Unallowed	Allowable Costs/Cost Principles	Cash Management	Conflict of Interest	Eligibility	Equipment Real Property Management	Matching, Level of Effort, Earmarking	Period of Performance	Procurement Suspension & Debarment	Program Income	Reporting	Subrecipient Monitoring	Special Tests and Provisions
N. C. Office of the Governor (1)																
GOV-2	Special Appropriations		N	Y	Y	N	N	N	N	Y	Y	Y	N	Y	Y	N
North Carolina Tobacco Trust Fund Commission (1)																
AGRI-3	Tobacco Trust Fund Commission		N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y

Division

DHHS-DAAS	Department of Health and Human Services	Division of Aging and Adult Services
DHHS-DCDEE	Department of Health and Human Services	Division of Child Development and Early Education
DHHS-DMH	Department of Health and Human Services	Division of Mental Health, Developmental Disabilities and Substance Abuse Services
DHHS-DPH	Department of Health and Human Services	Division of Public Health
DHHS-DSS	Department of Health and Human Services	Division of Social Services
DHHS- ORH	Department of Health and Human Services	Office of Rural Health
DPS-DJJDP	Department of Public Safety	Division of Juvenile Justice and Delinquency Prevention

Types of Compliance Requirements

CC	Crosscutting Requirements (see Section D)	4	Conflict of Interest	8	Period of Availability of State Funds	12	Reporting
1	Activities Allowed or Unallowed	5	Eligibility	9	Procurement and Suspension and Debarment	13	Subrecipient Monitoring
2	Allowable Costs/Cost Principles	6	Equipment and Real Property Management	10	Program Income	14	Special Tests and Provisions
3	Cash Management	7	Matching, Level of Effort, Earmarking	11	Reserve		

Notes

A The presence of "CL" in the supplement number indicates that the program is a cluster. See the compliance supplement for composition of the cluster.

B The presence of "Y" on the matrix indicates this type of compliance requirement may apply to the State program. The auditor should use the compliance supplements in Section C in planning and performing the tests of compliance required by state agencies.

The presence of a dash (-) indicates the program normally does not have activity subject to this type of compliance requirement. Auditors should determine the compliance requirements applicable to the program. The auditor is responsible for reviewing applicable laws, regulations, contract and grant agreements, notifications from awarding agencies and any other applicable documentation in order to determine compliance requirements which could have a direct and material effect on major programs.