

Agency Matrix of Crosscutting Requirements

| Applies To: | | | Types of Compliance Requirements (Note B) | | | | | | | | | | | | | |
|---|---|------------|---|---------------------------------|-----------------|----------------------|-------------|------------------------------------|---------------------------------------|-----------------------|------------------------------------|----------------|-----------|-------------------------|------------------------------|--|
| Supplement Number (Note A) | State Project/Program | In Section | A | B | C | n/a | E | F | G | H | I | J | L | M | N | |
| | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 12 | 13 | 14 | |
| | | | Activities Allowed or Unallowed | Allowable Costs/Cost Principles | Cash Management | Conflict of Interest | Eligibility | Equipment Real Property Management | Matching, Level of Effort, Earmarking | Period of Performance | Procurement Suspension & Debarment | Program Income | Reporting | Subrecipient Monitoring | Special Tests and Provisions | |
| DOT-0 – Department of Transportation | | | N | N | Y | Y | N | Y | N | Y | Y | N | N | N | N | |
| 20.507 | Urbanized Area Formula Grant Program | B | | | | | | | | | | | | | | |
| 20.509 | Community Transportation Program (Public Transportation for Urbanized Areas) | B | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| 20.600-CL | Governor Highway Safety Program | B | | | | | | | | | | | | | | |
| DOT-4 | Non-State System Street Aid Allocation (State Street Aid to Municipalities “Powell Bill”) | C | | | | | | | | | | | | | | |
| DOT-9 | State Maintenance Assistance for Urban and Small Urban Program | C | | | | | | | | | | | | | | |
| DOT-11 | Rideshare | C | | | | | | | | | | | | | | |
| DOT-16CL | Rural Operating Assistance Program (ROAP) | C | | | | | | | | | | | | | | |

| Applies To: | | | Type | | |
|----------------------------|------------------------|------------|---------------------------------|---------------------------------|------|
| Supplement Number (Note A) | State Project/Program | In Section | A | B | C |
| | | | 1 | 2 | 3 |
| | | | Activities Allowed or Unallowed | Allowable Costs/Cost Principles | Cash |
| DOT-18 | Statewide Contingency | C | | | |
| DOT-19 | Urban State Match | C | | | |
| DOT-25 | Economic Development | C | | | |
| DOT-26 | High Impact / Low Cost | C | | | |

| Applies To: | | | Types of Compliance Requirements (Note B) | | | | | | | | | | | | |
|---|---|------------|---|---------------------------------|-----------------|----------------------|-------------|------------------------------------|---------------------------------------|-----------------------|------------------------------------|----------------|-----------|-------------------------|------------------------------|
| Supplement Number (Note A) | State Project/Program | In Section | A | B | C | n/a | E | F | G | H | I | J | L | M | N |
| | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 12 | 13 | 14 |
| | | | Activities Allowed or Unallowed | Allowable Costs/Cost Principles | Cash Management | Conflict of Interest | Eligibility | Equipment Real Property Management | Matching, Level of Effort, Earmarking | Period of Performance | Procurement Suspension & Debarment | Program Income | Reporting | Subrecipient Monitoring | Special Tests and Provisions |
| DMH-0 -- Department of Health and Human Services, Division of Mental Health, Developmental Disabilities and Substance Abuse Services | | | Y | Y | Y | N | Y | Y | N | Y | Y | N | Y | Y | Y |
| 93.667-9 | Menta Health, Intellectual and Developmental Disabilities, and Substance Abuse Services | B | | | | | | | | | | | | | |
| 93.959-1CL | Substance Abuse Services | B | | | | | | | | | | | | | |
| 93.959-2CL | Substance Abuse Prevention | B | | | | | | | | | | | | | |
| DHHS-54 | Crisis and Inpatient Services | C | | | | | | | | | | | | | |
| DHHS-55 | LME Systems Performance | C | | | | | | | | | | | | | |

| Applies To: | | | Types of Compliance Requirements (Note B) | | | | | | | | | | | | |
|--|--|------------|---|---------------------------------|-----------------|----------------------|-------------|------------------------------------|---------------------------------------|-----------------------|------------------------------------|----------------|-----------|-------------------------|------------------------------|
| Supplement Number (Note A) | State Project/Program | In Section | A | B | C | n/a | E | F | G | H | I | J | L | M | N |
| | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 12 | 13 | 14 |
| | | | Activities Allowed or Unallowed | Allowable Costs/Cost Principles | Cash Management | Conflict of Interest | Eligibility | Equipment Real Property Management | Matching, Level of Effort, Earmarking | Period of Performance | Procurement Suspension & Debarment | Program Income | Reporting | Subrecipient Monitoring | Special Tests and Provisions |
| DSS-0 - Department of Health and Human Services, Division of Social Services, Division of Aging and Adult Services and Division of Medical Assistance | | | N | Y | N | N | N | N | Y | N | N | N | Y | N | Y |
| 10.551-CL | Food Stamp Program State Administrative Matching Grants for Food Stamp Program | B | | | | | | | | | | | | | |
| 93.044-CL | ^Aging Cluster - Special Programs for the Aging Title III-B Supportive Services and Senior Centers Special Programs for the Aging - Title III-C Nutrition Services Nutrition Services Incentive Program | B | | | | | | | | | | | | | |
| 93.556 | Community Based Programs | B | | | | | | | | | | | | | |
| 93.558-3 | Work First Program | B | | | | | | | | | | | | | |
| 93.558-10CL | Special Children Adoption Fund (AKA Adoption Promotion Program Fund) | B | | | | | | | | | | | | | |
| 93.645-2 | Permanency Planning - Planning for Kids | B | | | | | | | | | | | | | |

| | | |
|------------|--|---|
| 93.645-3CL | Adoption Assistance Program IV-B | B |
| 93.658-2 | Title IV-E Maximization Program and State Funds | B |
| 93.658-4CL | Foster Care and Adoption Programs | B |
| 93.667-3 | State In-Home Services Fund | B |
| 93.667-4 | State Adult Day Care | B |
| 93.667-13 | TANF Transferred to Social Services Block Grant | B |
| 93.667-16 | Special Children Adoption Incentive Fund | B |
| 93.669-3 | Child Abuse and Neglect Grant | B |
| 93.778-1 | Medical Assistance | B |
| DHHS-28 | State Foster Care Benefits Program | C |
| DHHS-30 | State/County Special Assistance for Adults | C |
| DHHS-41 | Foster Care – At Risk Funds / Comprehensive Treatment Services Program | C |
| DHHS-58 | Children’s Trust Fund | C |

Agency Matrix of Crosscutting Requirements

| Applies To: | | | Types of Compliance Requirements (Note B) | | | | | | | | | | | | |
|---|---|---------------|---|---------------------------------|-----------------|----------------------|-------------|------------------------------------|---------------------------------------|-----------------------|------------------------------------|----------------|-----------|-------------------------|------------------------------|
| Supple- men Number (Note A) | State Project/Program | In Section | A | B | C | n/a | E | F | G | H | I | J | L | M | N |
| | | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 12 | 13 | 14 |
| | | | Activities Allowed or Unallowed | Allowable Costs/Cost Principles | Cash Management | Conflict of Interest | Eligibility | Equipment Real Property Management | Matching, Level of Effort, Earmarking | Period of Performance | Procurement Suspension & Debarment | Program Income | Reporting | Subrecipient Monitoring | Special Tests and Provisions |
| DPI – Department of Public Instruction | | | Y | Y | Y | Y | N | Y | N | N | Y | N | N | N | Y |
| 10.553-CL | State Nutrition Program | B | | | | | | | | | | | | | |
| 10.559 | Summer Food Service Program | B | | | | | | | | | | | | | |
| 84.010-1 | Title 1 Grants to LEAs - Basic and Concentration (PRC 50) | B | | | | | | | | | | | | | |
| 84.010-2 | Title I School Improvement (105/142) | B | | | | | | | | | | | | | |
| 84.011 | Education of Migratory Children (PRC 051) | B | | | | | | | | | | | | | |
| 84.013 | Title I, Part D Grants to State Agencies and Local Educational Agencies | B | | | | | | | | | | | | | |
| 84.027-CL | IDEA, Part B (611) (PRC 060), IDEA, Part B (619) Preschool (PRC 049), Coordinated Early Intervening Services (PRC 070), Risk Pool (PRC 114), Special Needs Targeted Assistance (PRC 118), Preschool Targeted Assistance (PRC 119) | B | | | | | | | | | | | | | |
| 84.048 | Career and Technical Education - (PRC 17) | B | | | | | | | | | | | | | |
| 84.196 | Education for Homeless Children and Youth (PRC 26) | B | | | | | | | | | | | | | |

| Applies To: | | | T | |
|--------------------------------------|--|---------------|---------------------------------|---------------------------------|
| Supple- men Number (Note A) | State Project/Program | In Section | A | B |
| | | | 1 | 2 |
| | | | Activities Allowed or Unallowed | Allowable Costs/Cost Principles |
| 84.287 | 21 st Century Community Learning Centers (PRC 110) | B | | |
| 84.323 | NC State Improvement Project (PRC 82) | B | | |
| 84.358-2 | Rural and Low Income School (PRC 109) | B | | |
| 84.365 | English Language Acquisition (PRC 104 & 111) | B | | |
| 84.367 | Supporting Effective Instruction (PRC 103) | B | | |
| 84.424 | Student Support and Academic Enrichment Grants (PRC 108) | B | | |
| 93.235 | Sexual Risk Avoidance Education (SRAE) Grant Program (PRC 101) | B | | |
| DPI-4 | State Public School Fund (Charter Schools) | C | | |
| DPI-5 | Career Technical Education – State: Program Support Funds (PRC 014) | C | | |
| DPI-10 | Hurricane Relief and Recovery State Funds (PRC 0230) | C | | |

Types of Compliance Requirements

| | | | | | | | |
|--------|---------------------------------|--------|--|---------|--|---------|------------------------------|
| A 1 | Activities Allowed or Unallowed | E 5 | Eligibility | I 9 | Procurement and Suspension and Debarment | M 13 | Subrecipient Monitoring |
| B 2 | Allowable Costs/Cost Principles | F 6 | Equipment and Real Property Management | J 10 | Program Income | N 14 | Special Tests and Provisions |
| C 3 | Cash Management | G 7 | Matching, Level of Effort, Earmarking | K 11 | Reserve | | |
| D 4 | Reserve Conflict of Interest | H 8 | Period of Performance Period of Performance | L 12 | Reporting | | |

Notes

- A The presence of "CL" in the supplement number indicates that the program is a cluster. See the compliance supplement for composition of the cluster.
- B The presence of "Y" on the matrix indicates this type of compliance requirement may apply to the federal or state program. The auditor should use Part 3 of Section A and the compliance supplements in Section B for federal programs, or the compliance supplements in Section C for state programs, in planning and performing the tests of compliance required by state agencies. This is in addition to the requirements, if any, in the Federal Compliance Supplement reproduced in Section A.

The presence of a dash (-) indicates the program normally does not have activity subject to this type of compliance requirement. Auditors should determine the compliance requirements applicable to the program. The auditor is responsible for reviewing applicable laws, regulations, contract and grant agreements, notifications from awarding agencies and any other applicable documentation in order to determine compliance requirements which could have a direct and material effect on major programs.